

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nobuhiko OOHARA et al. Art Unit: 1621  
Application No.: 10/564,984 Examiner: Nwaonicha, C.  
Title: NOVEL OPTICALLY ACTIVE PHOSPHORUS-CHIRAL DIPHOSPHETANE  
COMPOUND, INTERMEDIATE THEREFOR, AND TRANSITION METAL  
COMPLEX HAVING THE COMPOUND AS LIGAND  
International Application No.: PCT/JP2004/010670  
International Filing Date : July 27, 2004  
Priority Date : July 28, 2003  
U.S. Filing Date : January 18, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT  
AFTER THE MAILING DATE OF THE FIRST OFFICE ACTION  
ON THE MERITS, BUT BEFORE THE MAILING DATE OF A  
FINAL ACTION (37 CFR 1.97(c))

Sir:

The Information Disclosure Statement transmitted herewith is being filed under 37 CFR 1.97(c) after the mailing date of the first Office Action on the merits, but before the mailing date of a final action under § 1.113.

The required fees are calculated below:

Information Disclosure Statement Fee (set forth in 37 CFR. 1.17(p))	<u>\$180.00</u>
<b>TOTAL FEE DUE:</b>	<u><b>\$180.00</b></u>

THE COMMISSIONER IS AUTHORIZED TO CHARGE THIS FEE AND ANY OTHER FEES WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO THE CREDIT CARD NUMBER LISTED ON THE ATTACHED CREDIT CARD PAYMENT FORM (PTO-2038 FORM), REFERENCING ATTORNEY DOCKET NO. 0092/019001. A DUPLICATE COPY OF THIS PAPER IS ENCLOSED.

06/11/2007 MKAYPAGH 00000104 10564984


03 FC:1806

180.00 DP

Date: June 7, 2007

**SMITH PATENT OFFICE**  
1901 Pennsylvania Ave., N.W.  
Suite 901  
Washington, DC 20006-3433  
Telephone: 202/530-5900  
Facsimile: 202/530-5902  
Oohara060707

Respectfully submitted,

  
Randolph A. Smith  
Reg. No. 32,548



PATENT  
ATTORNEY DOCKET NO. 0092/019001

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), applicants request that the references cited on attached form PTO-1449 (a copy of which is enclosed) be considered by the Examiner.

Enclosed also please find copies of the Supplementary European Search Reports from two counterpart applications citing five new references. The relevance of these five references can be seen from the Supplementary European Search Reports.

This Statement is being filed under 37 CFR 1.97(c) after the mailing date of the first Office Action on the merits, but before the mailing date of a final action under § 1.113.

The \$180.00 fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) is currently due. As stated in the attached Transmittal Letter, the Commissioner is authorized to charge this \$180.00 fee and any other fees which may be required or credit any overpayment to the Credit Card number listed on the attached Credit Card Payment Form (PTO-2038 Form), referencing Attorney Docket No. 0092/019001.

Information Disclosure Statement  
Application No.: 10/564,984  
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It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

If there are any questions regarding this application, please telephone the undersigned at the telephone number listed below.

Respectfully submitted,

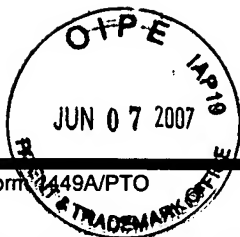


Randolph A. Smith  
Reg. No. 32,548

Date: June 7, 2007

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Substitute for form 2449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/564,984
Filing Date	January 18, 2006
Applicants	Nobuhiko OOHARA et al.
Group Art Unit	1621
Examiner Name	Nwaonicha, C.
Attorney Docket Number	0092/019001

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*	Cite No. <sup>1</sup>	DOCUMENT NUMBER Kind Code <sup>2</sup>  (if known)	NAME	DATE MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL*	Cite No. <sup>1</sup>	Foreign Patent Document			NAME	DATE MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Kind Code <sup>5</sup> Office <sup>3</sup> (if known)	Number <sup>4</sup>					
		WO	99/24444	A	BERENS	05/1999		

EXAMINER

DATE CONSIDERED

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number.

<sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

<sup>3</sup>Enter Office that issued the

document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede

the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Sheet 2 of 2

**Complete if Known**

Application Number	10/564,984
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Examiner Name	Nwaonicha, C.
Attorney Docket Number	0092/019001

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIAL*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		BICKELHAUPT, F., "Di-Grignard Reagents and Metallacycles", Pure & Appl. Chem., 1986, pp. 537-542, Vol. 58, No. 4, IUPAC.	
		WILSON, S. et al., "Preparation of A New Class of C2-Symmetric Chiral Phosphines: The First Asymmetric Staudinger Reaction", Synlett, April 1990, pp. 199-200, No. 4, Thieme International, Stuttgart, Germany.	
		MARINETTI, A. et al., "Synthesis and $\alpha$ -Alkylation of 1-Menthylphosphetane Sulfide", Tetrahedron, March 1997, pp. 4363-4370, Vol. 53, No. 12, Elsevier Science Publishers.	
		OHASHI, A. et al., "Improved Synthesis of 1-Adamantylphosphine and Its Use in The Synthesis of Cyclicphosphines Containing 1-Adamantyl Group", Heterocycles, 2000, pp. 905-910, Vol. 52, No. 2.	
		TANG, W. et al., "A Chiral 1, 2-Bisphospholane Ligand With A Novel Structural Motif: Applications In Highly Enantioselective Rh-Catalyzed Hydrogenations", Angewanted Chemie, May 2002, pp. 1612-1614, Vol. 41, No. 9, Wiley-VCH Verlag GmbH, Weinheim, Germany.	

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